

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : JEFFREY D. WILLIS, ET AL. GROUP NO.: 2834

SERIAL NO. : 09/563,612

FILED : May 2, 2000

TITLE : METHOD AND MEANS FOR MOUNTING
A WIND TURBINE ON A TOWER

ELECTION UNDER 37 CFR § 3.71 AND POWER OF ATTORNEY

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

The undersigned, being Assignee of the entire interest in the above-identified application by virtue of an Assignment recorded, or submitted herewith for recording, in the United States Patent and Trademark Office as set forth below, hereby elects, under 37 CFR § 3.71, to prosecute the application to the exclusion of the inventor(s). Assignee hereby certifies that the attached Assignment has been reviewed, and that to the best of Assignee's knowledge and belief, title is in the Assignee.

The Assignee hereby revokes any previous Powers of Attorney and appoints ZARLEY, McKEE, THOMTE, VOORHEES & SEASE, comprising Donald H. Zarley, Registration No. 18,543; Bruce W. McKee, Registration No. 19,651; Dennis L. Thomte, Registration No. 22,497; Michael G. Voorhees, Registration No. 25,715; Edmund J. Sease, Registration No. 24,741; Mark D. Hansing, Registration No. 30,643; Kirk M. Hartung, Registration No. 31,021; Daniel J. Cosgrove, Registration No. 36,770; Michael R. Crabb, Registration No. 37,298; Heidi Sease Nebel, Registration No. 37,719; Wendy K. Marsh, Registration No. 39,703; Jeffrey D. Harty, Registration No. 40,639; Timothy J. Zarley, Registration No. 45,243; Patricia Ades, Registration No. 44,496; and Shane M. Niebergall, Registration No. 44,974, 801 Grand Avenue, Suite 3200, Des Moines, Iowa 50309, telephone: 402-392-2280, as its attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

ASSIGNMENT

1 In consideration of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to VALMONT INDUSTRIES, INC., a Delaware corporation, having an address of P.O. Box 358, Valley, Nebraska 68064, its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, Serial No. 09/563,612, filed in the United States Patent Office on the 2nd day of May, 2000, entitled METHOD AND MEANS FOR MOUNTING A WIND TURBINE ON A TOWER, and in said application and any and all other applications for United States Letters Patent, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States which may be obtained on any of said applications, and in any reissue or extension thereof.

15 The undersigned hereby authorize and request the Commissioner of Patents to issue said Letters Patent to VALMONT INDUSTRIES, INC.

20 For said considerations, the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and for the said considerations the undersigned further agree, upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned hereby becoming involved in interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns in the matter of

095847615-050201

Please direct all communications as follows:

DENNIS L. THOMTE
ZARLEY, McKEE, THOMTE, VOORHEES & SEASE
Suite 1111, 2120 South 72nd Street, Omaha, NE 68124
(402) 392-2280

ASSIGNEE:

VALMONT INDUSTRIES, INC.

Date:

27 July 2000

By:

Thomas P. Egan, Jr.

Name: Thomas P. Egan, Jr.

Title: Vice President

ASSIGNMENT:

X

Enclosed for recording

 Previously recorded

Date:

Reel:

Frame:

12-11-2000

PATENT I.D. NO.
1459126

RE



EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents

101546061

and original documents or copy thereof.

Name of conveying party(ies):

FREY O. WILLIS and
THONY J. HANSEN

11-14-60

2. Name and address of receiving party(ies):

Name: Valmont Industries, Inc.
Street Address: P.O. Box 358
Valley, NE 68064Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

☒ Assignment

Merger

Security Agreement

Change of Name

Other

Additional name(s) & address(es) attached? Yes ☒ No

NOV 14 2000

Execution Date: April 26, 2000

4. Application number(s) or patent number(s): 09/563,612

If this document is being filed together with a new application, the execution date of the application is:

Additional numbers attached? Yes ☒ No5. Name and address of party to whom
correspondence concerning document should be
mailed:

Name: Dennis L. Thomte

Street Address: Suite 1111, 2120 So. 72nd St.
Omaha, NE 681246. Total number of applications and
patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed [Please charge any deficiency or
credit any overpayment to Deposit Account No.
26-0084]

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached
copy is a true copy of the original document.*

DENNIS L. THOMTE

Name of Person Signing

Signature

11/9/2000

Date

Total number of pages including cover sheet, - attachments, and document:

6

Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

1 preparing and executing the preliminary statement and producing evidence in support
thereof, the undersigned hereby agreeing to perform, upon request, any and all
affirmative acts to obtain said Letters Patent of the United States and vest all rights
therein hereby conveyed in said assignee, its successors and assigns, whereby said
Letters Patent will be held and enjoyed by the said assignee, its successors and
5 assigns, to the full end of the term for which said Letters Patent may be granted as fully
and entirely as the same would have been held and enjoyed by the undersigned if this
assignment and sale had not been made.

And for said considerations, the undersigned hereby assign to said assignee, its
successors and assigns, the entire right, title and interest in said invention or
10 improvements for any and all foreign countries and agree upon the request of assignee,
its successors and assigns, to execute any and all documents that undersigned shall be
requested to execute in connection with any and all applications for foreign Letters
Patent therefore, including the prosecution thereof, and to execute any and all
documents necessary to vest title in said foreign applications and patents in assignee.

15 The attorneys of record are hereby authorized to insert the serial number and
filing date in the above blank spaces when received from the Patent Office.

Witness my hand and seal this 24 day of APRIL, 2000.

20 

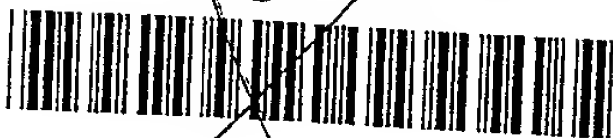
JEFFREY O. WILLIS

4-26-00

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09-18-2000

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SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Pa

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ached original documents or copy thereof.

1. Name of conveying party(ies):

JEFFREY D. WILLIS, ET AL.

2. Name and address of receiving party(ies):

Name: Valmont Industries, Inc.

Street Address: P.O. Box 358

Valley, NE 68064

Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

☒ Assignment

Merger

Security Agreement

Change of Name

Other

Additional name(s) & address(es) attached? Yes ☒ No

Execution Date: April 26, 2000

4. Application number(s) or patent number(s): 09/563,612

If this document is being filed together with a new application, the execution date of the application is:

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dennis L. Thomte

Street Address: Suite 1111, 2120 So. 72nd St.

Omaha, NE 68124

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed [Please charge any deficiency or credit any overpayment to Deposit Account No. 26-0084]

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DENNIS L. THOMTE

Name of Person Signing

Dennis L. Thomte

Signature

7/31/2000

Date

09/14/2000 MTHAI1 00000500 09563612

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**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FEBRUARY 14, 2001

PTAS



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DENNIS L. THOMTE
2120 SO. 72ND ST.
SUITE 1111
OMAHA, NE 68124

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/07/2000

REEL/FRAME: 011311/0916
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
WILLIS, JEFFREY O.

DOC DATE: 04/26/2000

ASSIGNOR:
HANSEN, ANTHONY J.

DOC DATE: 04/26/2000

ASSIGNEE:
VALMONT INDUSTRIES, INC.
P.O. BOX 358
VALLEY, NEBRASKA 68064

SERIAL NUMBER: 09563612
PATENT NUMBER:

FILING DATE: 05/02/2000
ISSUE DATE:

JEFFREY OLSEN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

COPY



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 30, 2000

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DENNIS L. THOMTE
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OMAHA, NE 68124



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NOTICE OF NON-RECORDATION OF DOCUMENT

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THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. THE NAME OF THE CONVEYING PARTY(S) MUST BE INDICATED ON THE COVER SHEET AND MUST BE COMPLETE. (I.E. LAST NAME, FIRST NAME MI)

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JEFFREY O. WILLIS and ANTHONY J. HANSEN the above-named petitioners, declare that they are citizens of the United States with post office and resident addresses of 141 North 21st Street, Blair, Nebraska 68008, and 21411 Ridgewood Road, Elkhorn, Nebraska 68025, respectively; that they verily believe themselves to be the original, first, and co-inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND MEANS FOR MOUNTING A WIND TURBINE ON A TOWER described and claimed in the annexed specification; that they do not know and do not believe that the same was ever known or used in the United States of America before their invention thereof or patented or described in any printed publication in any country before their invention thereof, or more than one year prior to this application; that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America or an application filed by them or their legal representatives or assigns more than twelve months prior to this application; that they have reviewed and understand the contents of the above-identified specification including the claims, as amended by any amendment specifically referred to in the oath or declaration; that they acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations 1.56(a); and that no application for patent or inventor's certificate on this invention has been filed by them, or their legal representatives or assigns in any country foreign to the United States of America except as identified below.


None.

And they hereby appoint ZARLEY, McKEE, THOMTE, VOORHEES & SEASE, comprising Donald H. Zarley, Registration No. 18,543; Bruce W. McKee, Registration No. 19,651; Dennis L. Thomte, Registration No. 22,497; Michael G. Voorhees, Registration No. 25,715; Edmund J. Sease, Registration No. 24,741; Mark D. Hansing, Registration No. 30,643; Kirk M. Hartung, Registration No. 31,021; Daniel J. Cosgrove, Registration No. 36,770; Michael R. Crabb, Registration No. 37,298; Heidi Sease Nebel, Registration No. 37,719; Wendy K. Marsh, Registration No. 39,703; Jeffrey D. Harty, Registration No. 40,639; James A. Napier, Registration No. 42,025; Timothy J. Zarley, Registration No. 45,243; Mark J. Ziegelbein, Registration No. 43,407; and Patricia Ades, Registration No. 44,496, 801 Grand Avenue, Suite 3200, Des Moines, Iowa 50309, telephone: 402-392-2280, as their attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.


The undersigned petitioners further declare that all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or

1 imprisonment, or both, under Section 1001 of Title 18 of the United States Code and
that such willful false statements may jeopardize the validity of the application of any
patent issuing thereon.

5 Date 4-26-00


JEFFREY O. WILLIS
141 North 21st Street
Blair, NE 68008

10 Date 4/26/00


ANTHONY J. HANSEN
21411 Ridgewood Road
Elkhorn, NE 68022

050476100001

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